

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

KOZEE et al.

Group Art Unit: Not yet known

Application No. Not yet known

Examiner: Not yet known

Filed: March 12, 2004

For: INK JET INK COMPOSITION AND
METHOD FOR SECURITY MARKING

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☐ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

or

☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

- ☐ Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.
- ☒ This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- ☐ Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- ☐ A copy of the foreign search report is enclosed herewith.
- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were

In re Appln. of Kozee et al.
Application No. Not yet known

furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status (<i>check one</i>)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Statement under 37 CFR 1.704(d)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Fees

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

In re Appln. of Kozee et al.
Application No. Not yet known

Method of Payment of Fees

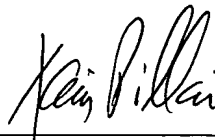
- ☐ Attached is a check in the amount of \$.
☐ Charge Deposit Account No. 12-1216 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization to Charge Additional Fees

- ☐ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

Instructions as to Overpayment

- ☒ Credit Account No. 12-1216.
☐ Refund



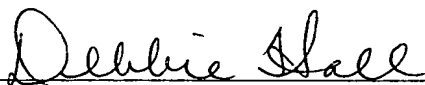
Xavier Pillai, Reg. No. 39,799
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson Avenue
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: March 12, 2004

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: March 12, 2004



Please type a plus sign (+) inside this box →



Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known		
				Application Number	Not yet known	
				Filing Date	March 12, 2004	
				First Named Inventor	Kozee	
				Group Art Unit	Not yet known	
				Examiner Name	Not yet known	
Sheet	1	of	1	Attorney Docket Number	224488	
U.S. PATENT DOCUMENTS						
Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
	AA	3,060,429		Winston	10/23/1962	
	AB	3,298,030		Lewis et al.	01/10/1967	
	AC	3,373,437		Sweet et al.	03/12/1968	
	AD	3,416,153		Hertz et al.	12/10/1968	
	AE	3,673,601		Hertz	06/27/1972	
	AF	4,008,085		Lemahieu et al.	02/15/1977	
	AG	4,659,649		Dickinson et al.	04/21/1987	
	AH	4,711,832		Gruenbaum et al.	12/08/1987	
	AI	4,933,377		Saeva et al.	06/12/1990	
	AJ	5,028,792		Mullis	07/02/1991	
	AK	5,099,132		Ueno et al.	03/24/1992	
	AL	5,102,771		Vogel et al.	04/07/1992	
	AM	5,221,590		Bugner	06/22/1993	
	AN	5,296,275		Goman et al.	03/22/1994	
	AO	5,314,785		Vogel et al.	05/24/1994	
	AP	5,364,740		Fohrenkamm et al.	11/15/1994	
	AQ	5,411,835		Brinser	05/02/1995	
	AR	5,436,115		Mullis	07/25/1995	
	AS	5,470,994		Saeva et al.	11/28/1995	
	AT	5,542,971		Auslander et al.	08/06/1996	
	AU	5,569,317		Sarada et al.	10/29/1996	
	AV	5,693,693		Auslander et al.	12/02/1997	
	AW	5,716,754		Arnost et al.	02/10/1998	
	AX	5,721,287		Nohr et al.	02/24/1998	
	AY	5,811,530		Arnost et al.	09/22/1998	
	AZ	5,837,042		Lent et al.	11/17/1998	
	BA	5,837,586		Perron	11/17/1998	
	BB	5,939,468		Siddiqui	08/17/1999	
	BC	6,015,621		Lischewski et al.	01/18/2000	
	BD	6,100,009		Obayashi et al.	08/08/2000	
	BE	6,127,073		Nohr et al.	10/03/2000	
	BF	6,228,157		Nohr et al.	05/08/2001	
	BG	6,235,095	B1	Nohr et al.	05/22/2001	
	BH	6,329,120	B1	Obayashi et al.	12/11/2001	
	BI	6,329,121	B2	Obayashi et al.	12/11/2001	
	BJ	6,335,531	B1	Somerville et al.	01/01/2002	
	BK	6,376,149	B2	Grober et al.	04/23/2002	
	BL	6,402,986	B1	Jones, II et al.	06/11/2002	
	BM	6,441,380	B1	Lawandy	08/27/2002	
	BN	6,504,161	B1	Jackson et al.	01/07/2003	
	BO	6,524,379	B2	Nohr et al.	02/25/2003	
	BP	2003/0112423	A1	Vig et al.	06/19/2003	
Examiner Signature				Date Considered		

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).